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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/797,046	03/11/2004	Jung-hyun Lee	030681-634

21839  
BURNS DOANE SWECKER & MATHIS L L P  
POST OFFICE BOX 1404  
ALEXANDRIA, VA 22313-1404

CONFIRMATION NO. 4688  
FORMALITIES LETTER  
\*OC000000012818910\*  
\*OC000000012818910\*

Date Mailed: 06/01/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
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*A copy of this notice **MUST** be returned with the reply.*

07/30/2004 #00000004 10797046 130.00 DP  
01 FC:1051

Wt.  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Patent  
Attorney Docket No. 030681-634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Jung-hyun Lee et al.

Group Art Unit: 2812

Application No.: 10/797,046

Examiner: Unassigned

Filing Date: March 11, 2004

Confirmation No.: 4688

Title: METHOD FOR MANUFACTURING OXIDE FILM HAVING HIGH DIELECTRIC CONSTANT,  
CAPACITOR HAVING DIELECTRIC FILM FORMED USING THE METHOD, AND METHOD FOR  
MANUFACTURING THE SAME

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

MAIL STOP MISSING PARTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In complete response to the Notice to File Missing Parts of Application filed Under 37 C.F.R. § 1.53(b) dated June 1, 2004, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s);
  - ☐ Note that the inventor/inventors identified on the concurrently filed Combined Declaration and Power of Attorney is/are different than listed on the application filing papers.
- ☐ an Application Data Sheet;
- ☒ the surcharge of ☐ \$65.00 (2051) ☒ \$130.00 (1051) as set forth in 37 C.F.R. § 1.16(e);
- ☐ a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (1053) fee as set forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document and a separate check for the \$40.00 (8021) Assignment recordation fee;
- ☐ drawings for publication;
- ☐ IDS;
- ☐ a certified copy of the priority document; and
- ☐ other

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- ☐ A check in the amount of \_\_\_\_\_ for the fee due for missing parts is enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for missing parts.
- ☒ Charge \$ 130.00 to credit card. Form PTO-2038 is attached.
- ☐ Small entity status is hereby claimed.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: July 28, 2004

By *John G. Wieland III* Reg. No. 47,248  
*for* Charles F. Wieland III  
Registration No. 33,096